

## Pinal County Grant Pre-Proposal

<b>Tracking Number</b>	2016-SHER-117
<b>Funding Entity</b>	US Department of Homeland Security
<b>Department</b>	Sheriff
<b>Grant Type</b>	Federal Pass Through
<b>Amount Sought</b>	\$115574
<b>Date Due</b>	2016-03-07T07:00:00Z
<b>Submission Format</b>	Electronic Application
<b>No Submitted Documentation Explanation</b>	N/A Still writing application proposal
<b>Grant Start Date</b>	2016-10-01T07:00:00Z
<b>Grant End Date</b>	2017-09-30T07:00:00Z
<b>No Indirect Costs Not Allowed Documentation Explanation</b>	equipment only
<b>In-Kind Matching Personnel</b>	N/A
<b>In-Kind Total Personnel</b>	0
<b>In-Kind Matching Facilities</b>	N/A
<b>In-Kind Total Facilities</b>	0
<b>In-Kind Matching Equipment</b>	N/A
<b>In-Kind Total Equipment</b>	0
<b>In-Kind Matching Other</b>	N/A
<b>In-Kind Total Other</b>	0
<b>Community Partner Information</b>	N/A
<b>Grant Pays for New Stuff</b>	true
<b>New Equipment from Grant</b>	2 upgraded digital radios for Sheriff's Aviation Unit
<b>New or Existing Program</b>	New Program
<b>Sustainability Plan Description</b>	N/A

<b>Project Description</b>	The Pinal County Sheriff's Office is requesting funding from AZ Department of Homeland Security to purchase two (2) upgraded Digital radio systems for our Aviation Unit. These radios will update our aircraft in compliance to the narrow banding requirements. The two radios will bring connectivity back to the air unit and satisfy the identified gap which occurred when other federal, state and tribal entities performed their updates. This project is in compliance with the Public Safety Interoperable Communications initiatives.
<b>Strategic Goals Alignment Description</b>	N/A
<b>Costs and Benefits Description</b>	This grant funding is for equipment only and does not require any matching funds. There is zero cost to the county and installation and warranties are included with the equipment purchase. The benefit to the citizens of Pinal County would be priceless.
<b>Additional Information</b>	Jay Vargo in IT assisted with the proper quote.
<b>CM Exemption Review Explanation</b>	N/A

**Attached Documents:**

**About Funding Documentation ([http://app-9a506d55220269.sharepointapps.pinalcounty.mis/grants/GrantPreProposals/Lists/SupportingDocumentation/2016-117\\_about\\_funding\\_documentation.msg](http://app-9a506d55220269.sharepointapps.pinalcounty.mis/grants/GrantPreProposals/Lists/SupportingDocumentation/2016-117_about_funding_documentation.msg))**

**Review History:**

	<b>Reviewer</b>	<b>Date</b>	<b>Outcome</b>
<b>Sheriff (Internal) Review</b>	Tim Gaffney	Wed Feb 3 2016	Approved
<b>Grants Coordinator Review</b>	Kolya McCleave	Wed Feb 3 2016	Approved
<b>Information Technology Review</b>	Steven Frazier	Wed Feb 3 2016	Approved
<b>Budget Review</b>	Angeline Woods	Sat Feb 6 2016	Approved
<b>Finance Review</b>	Levi Gibson	Wed Feb 10 2016	Approved
<b>County Manager Review</b>	Gregory Stanley	Thu Feb 11 2016	Approved

# 2016 State Homeland Security Grant Application

Submitted by Tom Gaupel on February 9, 2016 - 11:54am

## PROJECT ADMINISTRATION

### PROJECT INFO

**Subrecipient Agreement #:**  
160304-01

**Organization Name:**  
Pinal County Sheriff's Office

**Project Title:**  
Pinal County Sheriff's Office Aviation Radio Project

**Project Description:**  
The Pinal County Sheriff's Office is seeking funding to purchase (2) new Aviation P25 Multi-band radios for two existing Pinal County Sheriff Office aviation units. The new radios will replace older obsolete units that do not have multi-band capabilities needed to talk to other regional wireless radio systems. Installation and associated maintenance of the new radios will be the responsibility of the Pinal County Sheriff Office.

### COMMENTS/REVIEW

**Funding Year:**  
2016

## APPLICANT CONTACT

**Applicant Name:**

Tom Gaupel

**Applicant Email:**

thomas.gaupel@pinalcountyaz.gov

**Applicant Office Phone:**

(520) 866-5165

**Applicant Cell Phone:**

(520) 483-1403

**Address:**

971 N Jason Lopez Circle  
Florence AZ 85132-1348

## HEAD OF AGENCY CONTACT

**Head of Agency Name:**

Sheriff Paul Babeu

**Head of Agency Office Phone:**

(520) 866-5133

**Head of Agency Email:**

paul.babeu@pinalcountyaz.gov

## PROGRAM CONTACT

**Program Contact Name:**

Tom Gaupel

**Program Contact Office Phone:**

(520) 866-5165

**Program Contact Cell Phone:**

(520) 483-1403

**Program Contact Email:**

thomas.gaupel@pinalcountyaz.gov

## FISCAL CONTACT

**Fiscal Contact Name:**

Linda Martinez

**Fiscal Contact Office Phone:**

(520) 866-5144

**Fiscal Contact Cell Phone:**

(000) 000-0000

**Fiscal Contact Email:**

linda.martinez@pinalcountyaz.gov

**Address:**

971 N Jason Lopez Circle

Florence AZ 85132-0867

## PROGRAM INITIATIVES

**Initiatives:**

Strengthen Interoperable Communications Capabilities

**Is this project a Law Enforcement Terrorism Prevention Activity (LETPA)?**

Yes

## STATE HOMELAND SECURITY STRATEGY

- Goal 1: Enhance Arizona's Common Capabilities » 1.1.0 » 1.1.3

## RISK PROFILE/PROJECT NEED

**Describe your understanding of the terrorism risk that your area faces.:**

The goal of the Pinal County Sheriff's Office is to deny access along the Arizona / Mexican border that travels through Pinal County, to illegal entrants and possible terrorists who intend to cause harm or commit crimes against this Nation. The Pinal County Sheriff's Office supports the primary mission of anti-terrorism, by the detection, apprehension, prosecution and deterrence of all illegal entrants and illicit activity. Through diligence and strict enforcement along potential avenues of entry or major thoroughfares, the Pinal County Sheriff's Office will attempt to close those corridors utilized by criminals or terrorists whose purpose is to inflict injury or perpetrate unlawful acts against the citizens of the United States and/or disrupt our national security.

**Describe your understanding of the natural hazards risk that your area faces.:**

The areas of specific responsibility contain mountain ranges, washes, rivers, canals, thick brush, and impassable roadways.

**What assets and capabilities does your agency currently have that allows for addressing these risks?:**

These aircraft will allow the Pinal County Sheriff's Office to provide air support to officers and deputies engaged in all facets of law enforcement activities while serving the citizens of Pinal County with special attention to drug and human smuggling interdiction. The Pinal County Sheriff's Office is a participating agency of the Southwestern High Intensity Drug Trafficking Area group and operates a full-time multi-agency narcotics interdiction task force. These aircraft will provide much needed support to the narcotics task force where the primary mission is drug interdiction. They will also support patrol deputies in their every day missions.

**How would this project help your agency address these risks?:**

The state's strategic plan encourages seamless collaboration and combined effort of all jurisdictions within the State of Arizona. Under 1.1.3 the State stresses the upgrading of operable communication systems for state, local and tribal. This project will bridge the gap providing the Pinal County Sheriff's Office the ability to communicate first hand to its state, tribal and other local jurisdictions. Therefore supporting the states' strategies of true interoperable communication through the states and its partners (1.1.3)

**Describe why your agency needs this project to be funded.:**

The Pinal County Sheriff's Aviation Units are routinely the hub of immediate information dissemination on suspect activities for the region and require 2-way radio communications to other agencies for immediate support and emergency backup. Currently Pinal County Aviation Units only have conventional VHF and UHF radios and are unable to communicate with other 700/800 radio systems. These agencies include Maricopa County, Phoenix and Mesa, Yuma/DPS and Pima County, who border our County and are extremely important in providing additional first responder support and mutual-aid services to the region This project will provide the funding to purchase (2) new multi-band aviation radio that will allow for interoperability amongst other agencies. This project is in compliance with the Public Safety Interoperable Communications initiatives.

**If grant funding were no longer available, would your agency continue to maintain, support and sustain this capability?:**

Yes

**Describe how your agency will maintain this capability.:**

To the best of our ability. It depends on budget cuts and other related funding priorities at the County.

**Are the assets requested in this grant physically mobile and available for use outside of your jurisdiction?:**

Yes

**Describe which agencies will directly benefit from this project, aside from your own.:**

The PCSO aircraft will allow the Pinal County Sheriff's Office to provide air support to officers and deputies engaged in all facets of law enforcement activities while serving the citizens of Pinal County with special attention to drug and human smuggling interdiction. The Pinal County Sheriff's Office is a participating agency of the Southwestern High Intensity Drug Trafficking Area group and operates a full-time multi-agency narcotics interdiction task force. Our aircraft will provide much needed support to the narcotics task force where the primary mission is drug interdiction. The Pinal County Sheriff's Office also works closely with the U.S. Border Patrol Tucson Sector on a daily basis and is a participating member of "Operation Stone garden" along with the West Desert Task Force and Silverbell Initiative as part of the Alliance to Combat Transnational Threats Focus Area two. The Pinal County Sheriff's office also supports 13 law enforcement agencies within Pinal County. It comes as no surprise to discover that a police helicopter can be safely labeled as a "force multiplier" in these types of efforts. In fact, multiple studies over the years have shown that criminals are statistically caught more often when the aerial unit flies over scene.

**Does this project support a NIMS typed resource?:**

Yes

**Select NIMS Typed Resource:**

Law Enforcement Aviation - Helicopters - Patrol & Surveillance

**Has your agency previously been awarded funding to support this project?:**

No

**Will your agency be requesting funding to support this project in future grant cycles?:**

No

## CORE CAPABILITIES

- Operational Communications › Equipment › Voice communications

### **Describe how your project addresses the selected gap(s):**

The 2015 State Preparedness Report (SPR) identifies Operational Communication as a "High" priority. Equipment 1-Acquire, maintain and sustain equipment. The SPR outlined the maintaining and sustaining current capabilities and supporting the acquisition of equipment to sustain those capabilities. This Aviation Project will help resolve the issues that we are experiencing today from not having interoperability with other regional wireless systems including Maricopa County, RWC, TRWC, PCWIN, YUMADPS, etc. The Pinal County Sheriff's Aviation Units are routinely the hub of immediate information dissemination on suspect activities for their region. They currently have older aviation radios that transmit on VHF only. They are in need to have the capability to access multiple channels and bands during in-process multi-agency events leading to safe and coordinated responses by ground personnel. As other agencies in the surrounding areas become more dependent on the use of 700/800 frequencies, and trunked talkgroups, direct communications will cease between the Pinal County Sheriff's Office's aircraft and those ground units as well. An upgrade to this radio system will bring connectivity back to the air unit and satisfy the identified interoperability gap with other regional radio systems while maintaining existing communications to existing VHF users. This project is in compliance with the Public Safety Interoperable Communications initiatives.

**MILESTONES**

**MILESTONE 1**

Grant will be accepted by the Pinal County Board of Supervisors. Complete quarterly report as required.

**MILESTONE 2**

Formal bid process under way through the Pinal County Finance team. Complete quarterly report as required.

**MILESTONE 3**

Formal bid process completed and vendor identified through Pinal County Finance. Complete quarterly report as required.

**MILESTONE 4**

Receive and install all equipment. Final report will be submitted as required.

# EQUIPMENT REQUEST

**Equipment Item**

**Item Name:** Radio for Aircraft- equipment and install

**AEL:** 18AC-00-ACUP

**Description:** An upgrade to the new radios systems on the Pinal County Sheriff's Office's aircraft, in compliance to the narrow banding requirements and the Public Safety Interoperable Communications Initiatives. Bringing connectivity back to the air unit and satisfy the identified gap which occurred when other federal, state and tribal entities performed their upgrade under the narrow banding requirements.

Age/Condition of Equipment	Requested Qty	Cost Per Unit Requested	Total Requested	Awarded Qty	Total Awarded
N/A	2	\$57,787	\$115,574	1	\$57,787
			<b>\$115,574</b>	<b>\$57,787</b>	

**Equipment Requested Total:**

\$115,574

**Equipment Awarded Total:**

\$57,787

**Can partial funding be accepted for this equipment?:**

Yes

# TRAINING REQUEST

Training Item	Backfill / Overtime	Workshops / Conferences	Trainers / Contractors / Consultants	Supplies	Travel	Total Requested	Total Awarded
	\$0	\$0	\$0	\$0	\$0	\$0	\$0
<b>Training Request Total:</b>							<b>\$0</b>

Training Request Total:  
\$0

Training Award Total:  
\$0

Does your agency have a MYTEP?:  
No

Can partial funding be accepted for this training?:  
No

**EXERCISE REQUEST**

Description	Exercise Type	Backfill / Overtime	Workshops / Conference	Contractors / Consultants	Supplies	Travel	Total Requested	Total Awarded
		\$0	\$0	\$0	\$0	\$0	\$0	\$0
<b>Exercise Requested Total:</b>							\$0	\$0
<b>Exercise Awarded Total:</b>							\$0	\$0

Does your agency have a MYTEP?:

No

Can partial funding be accepted for this exercise?:

No

**PLANNING REQUEST**

Description	Backfill and Overtime	Workshops / Conference	Staff / Contractors / Consultants	Materials	Travel	Total Requested	Total Awarded
	\$0	\$0	\$0	\$0	\$0	\$0	\$0
<b>Planning Request Total:</b>						<b>\$0</b>	<b>\$0</b>

Planning Request Total:  
\$0

Planning Award Total:  
\$0

Can partial funding be accepted for this planning?:  
No

**ORGANIZATION ACTIVITY REQUEST**

Description	Overtime	Operational Expenses	Staff / Contractors / Consultants	Total Requested	Total Awarded
	\$0	\$0	\$0	\$0	\$0
<b>Organizational Activity Request Total:</b>				<b>\$0</b>	<b>\$0</b>

Organizational Activity Request Total:  
\$0

Organizational Activity Award Total:  
\$0

Can you accept partial funding for this Organization activity?:  
No

**MANAGEMENT AND ADMINISTRATION REQUEST**

Description	Backfill / Overtime	Personnel / Contractor / Consultant	Travel	Materials	Total Requested
	\$0	\$0	\$0	\$0	\$0

**M&A Total Requested:**

\$0

**M&A Awarded Total:**

\$0

**Can you accept partial funding for this Management and Administration activity? :**

No

**PROJECT REQUEST TOTAL**

Cost Category	Total Requested	Total Awarded
Equipment Total	\$115,574	\$57,787
Training Total	\$0	\$0
Exercise Total	\$0	\$0
Planning Total	\$0	\$0
Organization Total	\$0	\$0
<b>Project Total</b>	<b>\$115,574</b>	<b>\$57,787</b>
Management and Administration	\$0	\$0
Indirect Costs	No	No

**PARTIAL FUNDING**

**Partial Funding Details:**

Yes, partial funding can be accepted for this project. This project covers radios and installation for 2 separate aircrafts deployed by the Pinal County Sheriff's Office. Funding requested for 2 radios and installation is for \$115,574.00. This project can be scaled back to one radio and installation at \$57,787.00.

**FINANCIAL SYSTEMS SURVEY**

Has your organization received a Federal or State Grant within the last two years?:

Yes

Has your organization received funding from the Arizona Department of Homeland Security within the past two years?:

Yes

Specify the grant contract numbers:

HSGP 888307-04, HSGP 130305-05, OPSSG 130305-05, HSGP 140307-04, OPSSG 140307-03, OPSSG 143007-02, HSGP 140307-01, OPSSG 999315-05, OPSSG 999315-04, OPSSG 999315-03, OPSSG 999315-01

Has your organization been audited by an Independent Certified Public Accountant within the past two years?:

Yes

Has your organization completed a Single Audit as required by 2 CFR 200 Subpart F within the past two years?:

Yes

Does your organization undergo an annual independent audit in accordance with 2 CFR 200 Subpart F?:

Yes

Has your organization been granted tax-exempt status by the Internal Revenue Service?:

No

Does your organization have policies related to salary scales, fringe benefits, travel reimbursement & personnel policies?:

Yes

Which of the following describes your organization's accounting system?:

Automated

How frequently do you post to the General Ledger?:

Daily

Does the accounting system completely & accurately track the receipt & disbursements of funds by each grant or funding source?:

Yes

Does the accounting system record actual costs compared to budgeted costs for each budget line item?:

Yes

Are time & effort distribution reports maintained for employees working fully or partially on state or federal grant programs which account for 100% of each employee's time?:

Yes

Is your organization familiar with Federal Cost Principles (2 CFR Part 200 Subpart E)?:

Yes

Are duties of the bookkeeper/accountant segregated from the duties of cash receipt or cash disbursement?:

Yes

Are checks signed by individuals whose duties exclude recording cash received, approving vouchers for payment and the preparation of payroll?:

Yes

Are all accounting entries and payments supported by source documentation?:

Yes

Are cash or in-kind matching funds supported by source documentation?:

Yes

Are employee time sheets supported by appropriately approved/signed documents?:

Yes

Does the organization maintain policies which include procedures for assuring compliance with applicable Code of Federal Regulations and terms of each grant award? :

Yes

Does the organization maintain written codes of conduct for employees involved in awarding or administering procurement contracts?:

Yes

Does the organization conduct purchases in a manner that encourages open and free competition among vendors?:

Yes

Does the organization complete some level of cost or price analysis for every purchase?:

Yes

Does the organization maintain a system of contract administration to ensure contractor conformance with the terms and conditions of each contract?:

Yes

Does the organization maintain written procurement policies and procedures?:

Yes

Are you on the disbarred/suspended list?:

No

## STANDARD DATA COLLECTION

### HIGHEST RANKING OFFICIAL

Name of Highest Ranking Official:

Greg Stanley

Address:

PO Box 1348

Florence AZ 85132-1348

## EMPLOYER IDENTIFICATION

Employer Identification Number (EIN):

86-6000556

Have you previously conducted business with the State using this EIN?:

Yes

DUNS Number:

074447095

What is your organization's Fiscal Year end date?:

06/30

## FEDERAL INFORMATION

In which Congressional (Federal) District is your agency headquartered?:

4

Approximately how much Federal funding will your organization expend in your current Fiscal Year?:

11500000

## FEDERAL FUNDING ACCOUNTABILITY AND TRANSPARENCY ACT (FFATA) REPORTING

Is 80% or more of your annual gross revenues from Federal Awards?:

No

Do you receive \$25 Million or more annually from Federal Awards?:

No